

07/15/03  
17681 U.S. PTO

PATENT  
790001-2016.1D

21908 U.S. PTO  
10/619394  
07/15/03

**Patent Application Transmittal**

(only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Correspondence Address:  
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Date: July 15, 2003

Attorney Docket No.: 790001-2016.1D

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

With reference to the filing in the United States Patent and Trademark Office of an application for patent in the name(s) of:

**Takashi YOSHITOMI and Yuichi NAKASHIMA**

entitled:

***SEMICONDUCTOR DEVICE WITH CAPACITOR AND  
PROCESS FOR MANUFACTURING THE DEVICE***

X Continuing Application

   Continuation X Divisional    Continuation-in-Part (CIP)  
of USSN 10/093,114 filed March 7, 2002.

[Note: If priority under 35 U.S.C. 120 involves a series of respectively copending applications, then in this amendment identify each and its relationship to its immediate predecessor.]

X The prior application is assigned of record to **KABUSHIKI KAISHA TOSHIBA**, by virtue of an Assignment recorded on March 7, 2002 at Reel 012693, Frame 0921.

   This is an application of a small entity under 37 CFR 1.9(f) and the amounts shown in parentheses below have been employed in calculating the fee:

   Small Entity Verified Statement(s) is (are) enclosed.

   Small Entity Verified Statement(s) filed in prior application, status still proper and desired

The following are enclosed:

- ☒ Specification (20 pages), Abstract (1 page) and One Cover Page.  
☒ 9 Sheets of Drawings (Figs. 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27 and 28)  
☒ 10 Claims (including 1 independent claims)

☐ This application contains a multiple dependent claim (see Preliminary Amendment herewith)

☒ Our check for \$750.00 calculated on the basis of the claims as amended by any enclosed preliminary amendment as follows:

Basic Fee, \$750.00 (\$375.00):	\$ 750.00
Number of Claims in excess of 20 at \$18.00 (\$9.00) each:	\$ 0.00
Number of Independent Claims in excess of 3 at \$84.00 (\$42.00) each:	\$ 0.00
Multiple Dependent Claim Fee at \$280.00 (\$140.00):	\$ 0.00
Total Filing Fee:	\$ 750.00

☐ Assignment Recording Fee \$40.00:

☐ This application is being filed within the \_\_\_ month following the expiration of the term originally set therefor in the prior application. This is a petition to request a -month extension of time. A check covering the cost of the petition is enclosed.

☒ Oath or Declaration and Power of Attorney  
\_\_\_ New \_\_\_ signed \_\_\_ unsigned  
☒ Copy from a prior application (37 C.F.R. 1.63(d))

Deletion of Inventors

☐ An Amendment to Correct Inventorship Pursuant to 37 C.F.R. 1.48(b), deleting inventors named in the prior application, is enclosed.

Power of Attorney or Correspondence Address Change

☐ Power of attorney and/or correspondence address was changed during prosecution of the prior application. The power of attorney is to **EDGAR H. HAUG, REG. NO. 29,309 and GRACE L. PAN, REG. NO. 39,440**. The new correspondence address is indicated above; kindly address correspondence to Edgar H. Haug, Esq., Frommer Lawrence & Haug LLP, 745 Fifth Avenue, New York, New York 10151.

X Incorporation by Reference (for continuation or divisional application)

The entire disclosure of the prior application, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

X A Preliminary Amendment, an Information Disclosure Statement and PTO Form 1449 in duplicate are also enclosed.

X A certified copy of a foreign priority application on which the claim for priority under 35 U.S.C. 119 is based was filed in prior U.S. application serial no. 10/093,114 filed March 7, 2002.

Application No.  
2001-065253

Filed  
March 8, 2001

In  
Japan

Please charge any additional fees required for the filing of this application or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By:

  
GRACE L. PAN, Reg. No. 39,440

EXPRESS MAIL

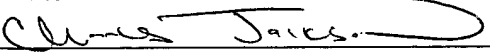
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Date of Deposit: July 15, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Charles Jackson

(Typed or printed name of person mailing paper or fee)

  
(Signature of person mailing paper or fee)

**CERTIFICATE OF MAILING - SEPARATE PAPER - PATENT APPLICATION**

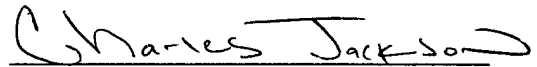
Attorney Docket No. : 790001-2016.1D  
Serial No. : Divisional Application of 10/093,114  
Title : ***SEMICONDUCTOR DEVICE WITH CAPACITOR AND  
PROCESS FOR MANUFACTURING THE DEVICE***  
Filed : July 15, 2003  
Applicants : Takashi YOSHITOMI et al.  
Mailed : Via Express Mail Label # EV195881376US

I hereby certify that this paper or fee, and a Patent Application and accompanying papers for the above-referenced application, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to:

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Alexandria, VA 22313-1450

Charles Jackson

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